# United States Court of Appeals for the Second Circuit



**APPENDIX** 

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# 75-2007

## United States Court of Appeals

FOR THE SECOND CIRCUIT

Docket No. 75-2007

WILLIAM BREWINGTON,

Appellant,

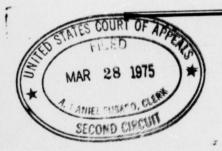
-against-

UNITED STATES OF AMERICA,

Appellee.

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF NEW YORK

#### **GOVERNMENT'S APPENDIX**



DAVID G. TRAGER, United States Attorney, Eastern District of New York. PAGINATION AS IN OHIGINAL COPY

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1 A 1 2 UNITED STATES DISTRICT COURT 3 EASTERN DISTRICT OF NEW YORK 4 5 UNITED STATES OF AMERICA, 6 - against -73-CR-303 7 EDDIE LONG and WILLIE BREWINGTON, 8 Defendants. : 9 10 11 United States Courthouse 12 Brooklyn, New York 13 June 25, 1973 10:00 o'clock A.M. 14 15 Before: 16 HONORABLE ORRIN G. JUDD, 17 U. S. D. J. 18 19 20 21

> SHELDON SILVERMAN COURT REPORTER

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#### Appearances:

ROBERT A. MORSE, ESQ.,

United States Attorney for the Eastern District of New York

BY: PAUL LAZARUS, ESQ.,
Assistant U.S. Attorney

JEFFREY C. HOFFMAN, ESQ., Attorney for the defendants.

\* \* \* \* \* \*

THE COURT: We've had a plea by Mr. Farmer; a guilty verdict against Miss Perry.

MR. LAZARUS: She pled after she lost the suppression motion. We were picking the jury .

THE COURT: Mr. Hoffman?

MR. HOFFMAN: Both Mr. Brewington and Mr. Long are offering to withdraw their previously entered "not guilty" pleas and enter pleas of guilty to the conspiracy count in the indictment, which is, I believe, the second count in the indictment, in the superseding indictment.

THE COURT: Yes. That charged a violation of Section 846.

Let us proceed with the Rule 11 questions then.

First, Eddie Long. You're indicted under the name of Eddie Long; is that your right name?

DEFENDANT LONG: Yes.

THE COURT: How old are you?

DEFENDANT LONG: 45.

THE COURT: How long did you go through school?

DEFENDANT LONG: Second grade.

THE COURT: Second?

DEFENDANT LONG: Yes.

THE COURT: Can you read and write?

DEFENDANT LONG: Very little.

THE COURT: Have you been earning a

#### living?

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DEFENDANT LONG: Yes.

THE COURT: Can you understand enough to

know what's involved in this case?

DEFENDANT LONG: Yes.

THE COURT: Have you talked with your

lawyer about it?

DEFENDANT LONG: Yes.

THE COURT: Are you satisfied that

Mr. Hoffman is doing his best to represent you?

DEFENDANT LONG: Yes.

THE COURT: Have you ever been under the

care of a doctor or psychiatrist recently?

DEFENDANT LONG: No.

THE COURT: Have you ever been hospitalized

or treated for any narcotic addiction?

DEFENDANT LONG: No.

THE COURT: Are you under any medication

or ailment today that interferes with your making up your mind on an important matter?

DEFENDANT LONG: No.

THE COURT: The indictment charged that you and the other three defendants conspired to knowingly and intentionally possess 899 grams of cocaine with intent to distribute.

Have you discussed the indictment with Mr. Hoffman?

DEFENDANT LONG: Yes.

THE COURT: Is it all right for him to proceed without reading every word of it?

MR. HOFFMAN: Yes.

THE COURT: Now, in offering to plead guilty, are you acting of your own free will?

DEFENDANT LONG: Yes.

THE COURT: You know if you don't plead quilty, you're entitled to a trial by a jury or by the Government's consent without. The jury will have to prove your guilt beyond a reasonable doubt.

You have the right of cross examination of witnesses and bringing in witnesses on your own behalf to testify for you and if you ran out

of money, to pay counsel, the Government will -the Court will have to appoint counsel. The
Government will have to pay for it.

You'd start with the presumption that

you're innocent. You know you have these rights?

DEFENDANT LONG: Yes.

THE COURT: While I read something about your activities during the hearing on Miss Perry, I don't know whether a jury would find you guilty or not. There's always a chance.

The penalty for violation of Section 846 is a term of imprisonment of not more than 15 years; a fine of not more than \$25,000 or both.

A special parole term of at least 3 years, in addition, if a term of imprisonment is imposed.

Is there any claim this is a second offender?

MR. LAZARUS: No, your Honor.

THE COURT: There has been a promise, I gather, Mr. Lazarus, to dismiss the first count of the sentence on the second count; is that right?

MR. LAZARUS: That's correct, as well

to dismiss the original indictment.

THE COURT: Has there been any other promise, Mr. Hoffman?

MR. HOFFMAN: No, your Honor.

THE COURT: Mr. Long, was there any promise made to induce you to plead guilty?

DEFENDANT LONG: No.

THE COURT: Or any threats?

DEFENDANT LONG: No.

THE COURT: I don't regard narcotic sales by non-addicts as a light offense. My practice, almost uniformly, has been to impose a substantial jail rentence.

Has there been any prediction or comments as to what my sentence will be?

DEFENDANT LONG: No, sir.

THE COURT: Are you pleading guilty because you did, in fact, commit the offense?

THE DEFENDANT LONG: Yes.

THE COURT: Tell me just what you did, because I have to know enough facts to be satisfied that I'm justified in accepting your plea.

DEFENDANT LONG: I was working for this

lady, Sharon.

MR. HOFFMAN: Sharon Perry.

THE COURT: Yes.

DEFENDANT LONG: We have a plumbing and heating business. We've been working in this home for something like two or three years off and on.

Then, her husband or friends or whatever, got arrested and --

THE COURT: That's Brown?

DEFENDANT LONG: Yes.

Then she, every day, she would ask me something about introducing her to somebody that might be able to help her. This went on for quite some time.

So, I said Okay, I'll agree to introduce her to somebody who might be introduced to help her. This is how I got involved in it.

THE COURT: First to help her what?

DEFENDANT LONG: She wanted to meet

somebody with the intentions of buying some

narcotics. This is what it was.

THE COURT: Was it cocaine?

DEFENDANT LONG: This, I don't know.

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THE COURT: What did you have -- you introduced her to somebody? Were you there when a sale was made?

DEFENDANT LONG: No, sir.

THE COURT: Did you know she was going to buy some narcotics?

DEFENDANT LONG: To be honest, I didn't know whether she was going to get narootics then or not.

THE COURT: But you knew that was her plan?

DEFENDANT LONG: Yes.

MR. HOFFMAN: Explain to the Court what happened.

DEFENDANT LONG: All right.

I introduced her and I went on home back to Washington.

THE COURT: Who did you introduce her to?

DEFENDANT LONG: Mr. Brewington.

THE COURT: Did you talk with Mr.

Erewington about helping her get narcotics?

DEFENDANT LONG: No.

THE COURT: You just introduced her?

DEFENDANT LONG: We entered into an

introduction.

THE COURT: Did you know the purpose was to get narcotics -- that's what you've said.

DEFENDANT LONG: Yes.

obtaining drugs.

THE COURT: Mr. Lazarus, are there more facts I should know in connection with this?

MR. LAZARUS: There were two trips made to New York for the purpose of Miss Perry

Mr. Long accompanied Miss Perry on both of these trips as your Honor is aware.

On the first trip, no drugs were obtained.

On the second trip, after the arrangements had been made, Mr. Long went back to Washington separately from Miss Perry and Mr. Farmer.

THE COURT: Is that right?

DEFENDANT LONG: Yes.

THE COURT: Mr. Hoffman, is there any reason why the plea should not be accepted?

MR. HOFFMAN: None.

THE COURT: I find the plea is made with knowledge of the defendant's rights and of the consequences of the plea; that it's voluntary, a basis in fact for all the elements of the crime.

I'll accept the plea. Since this does

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involve a probability of a substantial prison sentence, I think we should have a pre-sentence report.

What is Mr. Long's custody now?

MR. HOFFMAN: Mr. Long is on bail. There may be somewhat of a problem in terms of probation's report. When I say problem, geographically, he was from Washington. He's never been arrested before. All of his contacts, which are his own, are in Washington.

In terms of the probation department, they may want to work through the Washington Probation Department in terms of interviewing various people that Mr. Long knows.

THE COURT: It takes long enough to get it from here.

MR. HOFFMAN: That's why I'm bringing it up.

THE COURT: It's about an 8-weak period between now and sentence, which means sentence after Labor Day when I get back from vacation.

What do you say about custody?

MR. LAZARUS:- Mr. Long is currently on
bail. He's made all required court appearances.

We have no objection to continuing his bail status.

THE COURT: You'll be continued on present personal recognizance bond.

MR. HOFFMAN: \$3,000 bond.

MR. LAZARUS: \$3,000 cash, \$30,000 bond.

THE COURT: Mr. Long, I think I mentioned it before. I mentioned it, do you have any objection to Mr. Hoffman representing you?

DEFENDANT LONG: No objection.

THE COURT: Mr. Brewington?

DEFENDANT BREWINGTON: Yes?

THE COURT: How old are you?

DEFENDANT BREWINGTON: 44.

THE COURT: How far did you go through school?

DEFENDANT BREWINGTON: 3rd Grade.

THE COURT: Can you read and write?

DEFENDANT BREWINGTON: No.

THE COURT: Has somebody read the

indictment to you?

DEFENDANT BREWINGTON: Yes.

THE COURT: Have you been earning a living for a number of years?

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DEFENDANT BREWINGTON : Yes.

THE COURT: Do you think you understand what your problem is if you're able to talk sensibly with Mr. Hoffman about it?

DEFENDANT BREWINGTON: Yes.

THE COURT: Are you satisfied with him as your Lawyer?

DEFENDANT BREWINGTON: Yes.

THE COURT: You're indicted as Willie Brewington. Is that your right name?

DEFENDANT BREWINGTON: Yes.

My right name is William.

(continued on the next page.)

THE COURT: But your name is sometimes known as Willie?

DEFENDANT BREWINGTON: Yes.

THE COURT: Now, you've heard what I said about the indictment. You're red as part of the same conspiracy; you was stand that?

DEFENDANT BREWINGTON: Yes.

THE COURT: Do you want me to read it or do you understand enough about it?

DEFENDANT BREWINGTON: I understand

it.

THE COURT: In your offer to plead guilty, are you acting of your own free will?

DEFENDANT BREWINGTON: Yes.

THE COURT: Again, you have a right to go to trial if you want and as soon as I get the other cases out of the way, I would proceed or would have proceeded when Miss Perry was on trial.

At that time, the Government will have to prove your guilt beyond a reasonable doubt starting out with the presumption that you're innocent and did nothing wrong and you've got

a right to counsel, to cross examine the Government's witnesses, and to bring in your own witnesses, if you want. Do you understand all that?

DEFENDANT BREWINGTON: Yes, sir.

THE COURT: If you plead guilty, you can't change your mind and you'll get treated just as if you've been found guilty.

DEFENDANT BREWINGTON: Yes, sir.

THE COURT: Again, Mr. Lazarus, there's been a promise to dismiss count one and the prior indictment; is that correct?

MR. LAZARUS: Yes, and an additional promise. The additional promise is the Government will recommend to your Honor a specific term as to what the sentence should be with the understanding that it is in no way binding upon the Court. We will make our recommendation on that.

THE COURT: You'd better tell me now.

MR. LAZARUS: We're going to recommend a sentence of 6 years, with the understanding that it is in no way binding upon your Honor.

THE COURT: I will have to consider what the relationship of the various defendants are.

It is not beyond the realm of possibility.

Mr. Hoffman, do you know of any other

promises?

MR. HOFFMAN: Only, your Honor, the defendant was convicted on another case arising out of the arrest on this case. I believe that Mr. Lazarus will not object to a motion to have the sentences run concurrently.

THE COURT: That is sometimes done in this court.

MR. LAZARUS: The defendant Brewington was convicted in the Southern District for narcotics arising out of this arrest on the indictment. We will take no position on whether or not your Honor wishes to impose consecutive.

THE COURT: I don't have consecutive.

That's narcotics found in his apartment?

MR. LAZARUS: Yes, your Honor.

THE COURT: Mr. Brewington, you've heard what's been said about promises. HAs there been any other promises made to induce you to plead guilty?

DEFENDANT BREWINGTON: Only the narcotics found in my apartment.

MR. HOFFMAN: Any other promise?

DEFENDANT BREWINGTON: No.

THE COURT: Any threats to make you plead guilty?

DEFENDANT BREWINGTON: No.

THE COURT: Have you been recently treated by a doctor or psychiatrist?

DEFENDANT BREWINGTON: A doctor, yes.

THE COURT: What kind of ailment?

DEFENDANT BREWINGTON: I had a spinal

operation.

THE COURT: Does that interfere with your ability to make up your mind today?

DEFENDANT BREWINGTON: No.

THE COURT: Have you been hospitalized or treated for narcotic addiction?

DEFENDANT BREWINGTON: No, sir.

THE COURT: Are you under any medication or ailment today that would interfere with your making up your mind on this matter?

DEFENDANT BREWINGTON: No.

THE COURT: Although the Government has recommended a six-year sentence, doyou understand that it's up to me to decide within the limits

### imposed by the Statute?

DEFENDANT BREWINGTON: Yes, sir.

THE COURT: Are you pleading guilty because you did, in fact, commit the offense; did you supply the narcotics?

DEFENDANT BREWINGTON: No, sir.

THE COURT: Where did they come from?

DEFENDANT BREWINGTON: I really don't

know.

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THE COURT: What did you have to do with it?

DEFENDANT BREWINGTON: I couldn't -you could call it conspiring.

THE COURT: Did you help Miss Perry get . narcotics?

DEFENDANT BREWINGTON: I introduced her to somebody.

THE COURT: Who?

DEFENDANT BREWINGTON: A fellow that I know.

THE COURT: Somebody that you know about or don't know about?

MR. LAZARUS: Somebody we don't know about.

THE COURT: Did you introduce her for

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the purpose of getting some cocaine?

DEFENDANT BREWINGTON: Well, she said she wanted to buy something. I introduced her. I don't know what she bought, really. I don't know what she bought.

THE COURT: Have you told the Government
the best information you can give about who you
got the narcotics from or whom you introduced her
to?

DEFENDANT BREWINGTON: Judge, your Honor, that's kind of -- it's a dangerous thing. I've got a family. I'd rather you try me. I have babies. It's kind of tough.

THE COURT: Mr. Lazarus, I gather the

Government has attempted to get what cooperation
it can heretofore? Does this affect, in any
way, your recommendation?

MR. LAZARUS: No, your Honor.

THE COURT: There's no question in your mind that you did help Miss Perry get narcotics?

DEFENDANT BREWINGTON: That's right.

THE COURT: Is there any reason why your plea should not be accepted?

MR. HOFFMAN: None, your Honor .

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THE COURT: Mr. Brewington, knowing your rights, what you face, do you still want to plead guilty?

DEFENDANT BREWINGTON: Yes.

THE COURT: I find the plea is made with knowledge of the defendant's rights and of the consequences of the plea, and that's it's voluntary, and there is a basis in fact for all of the elements in the crime.

Mr. Lazarus, wouldn't it be just as well to get Mr. Brewington -- where is he?

MR. LAZARUS: He's in West Street, but not on these charges. He's made bail in this particular case. He did not make bail in the Southern District.

THE COURT: I see .

When will he be sentenced in the Southern District?

MR. LAZARUS: I believe he was tried there approximately 3 weeks ago.

THE COURT: It might be just as well if
I adjourn sentence here until after the Southern
District sentence, so that I can determine and
control whether it's consecutive or concurrent.

There's no point in duplicating the record from the Southern District, the probation report.

MR. LAZARUS: It certainly would be current.

MR. HOFFMAN: That was put on for the 24th of July.

THE COURT: Suppose I put this down for the 26th of July for sentence.

MR. LAZARUS: I will not be here until the following Monday, which is the 30th of July. There is no great necessity for me to be here.

THE COURT: I'll arrange for somebody else to know what your recommendation is.

MR. LAZARUS: I'll put it in the file.

I believe it's on the record as to today.

MR. HOFFMAN: 26th of July?

THE COURT: 26th of July.

MR. LAZARUS: I believe the probation department will, in the Southern District, will have to do some work presently. The offense is not the offense that your Honor will be heard before.

THE COURT: I suppose all rules are made

in my entertaining a specific recommendation at the time of the plea.

Good morning.

MR. HOFFMAN: Thank you, your Honor.

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CIVID ACTION

United States of Author,

Respondent

## IN AND PARCE OF A PARTY TO VACANT COMMENCE

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TO THE RESIDENCE OF CHARLES. SUDD. U.S.D.J.:

Pro politicar Willie Erraington, in proprie persona, does polition this Court pursuent to 28 U.S.C. | 2255, to vacata sentence and set aside judgment of commitment, and in support thereof does aver:

- 1. That he is in federal custody under sentence of this court in Criminal Marker 73-Cr-303, at the United States Penitentiary Addition, Copygla; and
- 2. That the sentence imposed was volative of his Constitablenel Rights of Due Process, and contrary to the Provisions of Tale 31, Pederal Rates of Criminal Procedure.

Wherefore he IMAYS that this Court, vacate sentence and not uside the Judgment of Commitment.

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Western Britished,

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Vii.

WITE DE STATES OF AVERTON,

TO CONTRACT OF MEDICAL PROPERTY.

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IN OUT OF OF HORSON TO VACALLY

CENTRE OF CEOINALY)

COUNTY OF FULLECT)

WELLE MUSIEMEDI, who, after being duly sween under outh, depones and naya:

> That he is the petitioner in the attached relien to mente memberse.

That at the proceedings held in this Court in Cuintant Cone Thurson 72-Or. -303, on July 25, 1973, the House ble derin G. Jula, United States District July velment fred that a plea bargein existed, and that although he was not bound by the recommendations made by the Office of the United Diates Attorney of six (6) years imprisonment he would consider such a recommentation.

That at this proceedings (June 25, 1973), the Court failed to advise the addient that could receive, in addition to the six year perferee a special perole tors.

What for the altier of the special percla from of ten (10) years, in edition to the six (6) year remoines and a fine of \$10,000, was both contrary to Rule II, inquiry at the Plos proceedings, as well as contrary to the communicated plea la gain encored into.

FLETZER AFFLAM IN METER FRU:

William Bel Willie Browington, Africant

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WILDER BUNNERDON,

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vs.

WATER STATES OF AMERICA,

Respondent

PERSONNEL OF LAW IN CO. PORT

### TO THE MANDRABLE CORNER G. JUDD, U.S.D.J.:

On June 19, 1974, the petralemer, Willie Brandington, appeared before this Court to submit a plea of guilty, after a negotiated plea agreement with the United States Attorney's Office, he Attorney and himself.

Pages 15 through 21 is the entent of the intercontion on Rule 31, had in the proceedings on June 25, 1973, and it falls short of the mandatory minimum requirements of Rule 11.

The correct legal standard to be applied where an accused with counsel pleads guilty is as stated by the Court in Ven Moltke vs.

Gillis. 332 U.S. at 721, 68 S.Ct. at 322, 92 L.Ed. at 319, 320, where
the count reiterates the language of McCarthy vs. United States, 1969,
394 U.S. 459, 89 S.Ct. 1166, 22 L. Ed. 26., 418, to the effect that bea guilty plea is an admission of all the chements of the fermal charge,
it account be truly voluntary unless the defendant possesses an understanding of the law in relation to the facts. Under standing of the law
in relation to the facts is the function of the accused's counsel.

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"(W)ten a class resist in any significant degree on a practice or square of all the transcriber, so that it can be said to be part of influences or consideration, such premise must be fulfilled."

A guilty plan, if induced by provided M. Yncopy which deprive it of the character of a voluntary not, is void. A convictable
based upon such a plan is open to colleteral obtack. Hambbroda va.
United States, 1962, 368 U.S. 487, 493, 82 S.Ct. 510, 7 L. Ed. 24 473,
478.

Rebert Pro vs. United States of Acaries P. 2d (Recided October 14, 1970, Docket No. 34375), that a consequence of a piece of guilty was the incligibility of parole, therefore, by control tently using this instant case, the failure of the sentencing Court to inform the petitioner directly that one of the consequences of his ploa of guilty was a possibility of a 15 year sentence, a special parole term of a minimum of three (3) years, and up to a \$25,000.00 fine, regardless of the "side bargains" made between the government and the defendant.

Wherefore, this instant esuse must be remailed, and the sentence vectod, and the judgment of considerat set make.

#### CERTIFICATE OF RELITED BY MAIL

I, the understance, hereby continy that I have noticed a copy of the attached Notice to Vacate Sentence, and accompanying affiliation to the Children to East United Sentence, and accompanying affiliation to the Children of East United Sentence, and accompanying Massici District of Hall Mass, Brookens, Haw York, by placing it in a properly addressed, postage propaid envelope, and mailing it Cortified Mail, Return Receipt Requested, on thin 19 day of June, 1974.

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#### AFFIDAVIT OF MAILING

STATE OF NEW YORK COUNTY OF KINGS EASTERN DISTRICT OF NEW YORK	88	
	EVELYN COHEN	being duly sworn,
deposes and says that he is employed	in the office of the United Sta	ates Attorney for the Eastern
District of New York.		
That on the28th day of	March 19 75 he serve	d a copy of the within
Appendix		
by placing the same in a properly post	paid franked envelope addresse	ed to:
Phyllis S	Skloot Bamberger, Esq.	
	Aid Society tates Courthouse	
Foley Squ	are	
and deponent further says that he seale		
drop for mailing in the United States C	DOE Codmon Diag	Foot
of Kings, City of New York.		n ashen
Sworn to before me this		
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The Section Notary Public No.	04.04.83755	
	Pia Klags County ed in New York County xpires March 30, 1975	